

PATENT

Attorney Docket No. MTI-31041-A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Ping, et al.
Serial No. : 10/046,497
Filing Date : October 26, 2001
For : Method For Forming Raised Structures by Controlled Selective
Epitaxial Growth of Facet Using Spacer
Group Art Unit : 2814
Examiner : LE, Thao X.
Confirmation No. : 8624

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, Applicant submits the information listed on the enclosed Form 1449 for consideration in the above-identified application. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103. A copy of these documents is enclosed.

This Supplemental Information Disclosure Statement is being filed as part of a Request for Continued Examination (RCE). Accordingly, no fee is due for consideration of the listed documents. However, please charge any fee that is necessary to Account No. 23-2053.

Return of the enclosed Form 1449/PTO in the next communication to Applicant, showing the citations as initialed and considered, is respectfully requested.

Respectfully submitted,

Kristine M. Strodthoff

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Dated: September 10, 2003

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Application Number	10/046,497
Filing Date	October 26, 2001
First Named Inventor	Ping, et al.
Art Unit	2814
Examiner Name	Le, Thao X.
Attorney Docket Number	MTI-31041-A

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages,C olumns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code² (<i>Retro wn</i>)			
		US- 5,888,294	03/30/99	Min et al.	
		US- 2001/0040292	11/15/01	Hahn et al.	
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Application Number

Compleat if Known

10/046.497

Filing Date

October 26, 2001

First Named Inventor

Ping, et al.

Art Unit

2814

Examiner Name

Le, Thao X.

Attorney Docket Number

MTI-31041-A

NON PATENT LITERATURE DOCUMENTS

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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